

Applicant(s)

Application of

: Juengling et al.

Serial No.

: 10/771,565

Filed

: February 4, 2004

Title

: FILL PATTERN GENERATION FOR SPIN-ON GLASS AND RELATED

SELF-PLANARIZATION DEPOSITION

Docket

: MIO 0074 V3/40509.286

Art Unit

: N/A

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 5, 2004.

Reg. No. 46,506

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicants reserves the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

Serial No. 10/771,565

Docket MIO 0074 V3/40509.286

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is believed to be filed before the mailing date of a first office action on the merits.

The above application is a division of U.S. Application Serial No. 10/032,877 filed October 24, 2001. Pursuant to §1.98(d), copies of those items cited by or submitted to the Office in the prior application are not being submitted.

The Office has waived the requirement pursuant to 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A.

Respectfully submitted,

**DINSMORE & SHOHL LLP** 

Registration No. 46,506

One Dayton Centre One South Main Street, Suite 500 Dayton, Ohio 45402-2023

Telephone: (937) 223-2050

Facsimile: (937) 223-0724

JDR/kec Encl

MAY 0 7 2004

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no person are required to resp

Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/771,565			
Filing Date	February 4, 2004			
First Named Inventor	Werner Juengling			
Art Unit				
Examiner Name				
Attorney Docket Number	MIO 0074 V3/40509.286			

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
	No.1	No.1	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant
		Number-Kind Code <sup>2 (# known)</sup>			Figures Appear
		<sup>US-</sup> 5,763,955	06/09/1998	Findley et al.	
		<sup>US-</sup> 5,854,125	12/29/1998	Harvey	
		<sup>US-</sup> 5,861,342	01/19/1999	Gabriel et al.	
		<sup>US-</sup> 5,885,856	03/23/1999	Gilbert et al.	
		<sup>US-</sup> 5,926,733	07/20/1999	Нео	
		<sup>US-</sup> 5,981,384	11/09/1999	Juengling	
		<sup>US-</sup> 6,099,992	08/08/2000	Motoyama et al.	
		<sup>US-</sup> 6,178,543	01/23/2001	Chen et al.	
	-	<sup>US-</sup> 6,178,853	01/30/2001	Huang	
		<sup>US-</sup> 6,504,254	01/07/2003	Takizawa	
		US-			
_		US-			
	<b></b>	US-			
		US-			

		FORE	<b>IGN PATENT DOCU</b>	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 "</sup> Number <sup>4 "</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
		JP Abstract 2001 313293	11/09/2001	Seiko Epson Corp.		
	ļ					
						<u> </u>
-						<u> </u>
						<u> </u>
				-		

Examiner	Date		
Signature	Cons	sidered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.